

7/7/2006

PTO/SB/08a (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10595469
Filing Date	2006-04-21
First Named Inventor	Yim-Bun Patrick Kwan
Art Unit	
Examiner Name	
Attorney Docket Number	72261.43

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/BT/	1	6943965	B2	2005-09-13	Kohl et al.	
/BT/	2	6674513	B2	2004-01-06	Omura	
/BT/	3	6639696	B1	2003-10-28	Nishio	

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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/BT/	1	20030197914	A1	2003-10-23	Cox et al.	
/BT/	2	20020080339	A1	2002-06-27	Takahashi	

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>		Application Number		10595469	
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		First Named Inventor		Yim-Bun Patrick Kwan	
		Art Unit			
		Examiner Name			
		Attorney Docket Number		72261.43	

/BT/	1	2002203767	JP	A	2002-07-19	Nippon Kogaku KK		<input checked="" type="checkbox"/>
	2	5234850	JP	A	1993-09-10	Canon KK		<input checked="" type="checkbox"/>
	3	1178356	EP	A2	2002-02-06	Carl Zeiss		<input checked="" type="checkbox"/>
	4	1321823	EP	A2	2002-06-25	ASML Netherlands BV (NL)		<input checked="" type="checkbox"/>
/BT/	5	10204465	DE	A1	2003-08-14	Carl Zeiss SMT AG		<input checked="" type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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EXAMINER SIGNATURE

Examiner Signature	/Brandi Thomas/	Date Considered	07/16/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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	Filing Date	2006-04-21
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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication

from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to

any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Donald S. Showalter/	Date (YYYY-MM-DD)	2006-07-07
Name/Print	Donald S. Showalter	Registration Number	33033

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this paper or fee is being electronically transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 7, 2006

Lillian Pillitteri
Lillian Pillitteri

Serial No.: 10/595,469
Filed: April 21, 2006
Confirmation No.: 1165
Applicant: Yim-Bun Patrick Kwan et al.
Title: OPTICAL ASSEMBLY FOR PHOTOLITHOGRAPHY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

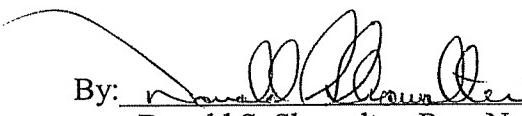
Pursuant to 37 C.F.R. §1.56, the disclosures listed on the attached Form PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the foreign references are enclosed. These disclosures are being submitted within three (3) months of the filing date or prior to the receipt of an Office Action on the merits pursuant to 37 C.F.R. § 1.97; thus no fee should be required. However, if a fee is required, please charge same to undersigned's Deposit Account No. 50-3744.

The Examiner is invited to review the subject matter of these references, and independently assess the teachings and materiality of each, if any, to the pending claims. Pursuant to 37 C.F.R. §1.97(h), no admission is made that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,

GrayRobinson, P.A.

By:


Donald S. Showalter, Reg. No. 33,033

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